

2OG 'RPLQLRQ 8QLYHUVLW\ \$O (PSOR\PHQW \$XWKRULJD

IU Öv

,QVWUXFWLRQV

\$GMXQFW IDFXOW\ PD\ EH DSSRLQWHG IRU XS WR D WkuHH \HDU WHUP RI DSSURYDO
 +RZHYHU WKLW DSSURYDO VHSOHLE GWR LPSO D JXDUDQWHH RIR RDSORGLFDOW HRUWKKIHI DIF
 HOLJLSDHWRP HP SOR\PHQW GXULQJ WKH DSSURYDO XOWP \$ \$SFRSVP RIR RDSORGLFDOW HRUWKKIHI DIF
 WR +XPDQ 5HVRXUFHV WR UFKRDMHGHSIDJORV OGU BFRHJLQR SDLG LQWPKW DFRSDOHWHDW
 &DPSXV DGHGROLBBUW VFXOW\ DQG 2YHUORDG 3D\UROOVBEXOWR PIFPEXMH SODPHQZOV V
 UHTXLUHG XQDZ WR FRPSOHWH DQ LPSOR\PHQLRIBDVLRLQ IRG D\ RI FRPPHQFLQJ HPSC
 FRPSOHWH WRHE\ WKH GHYBOWHQZDGSDFK HFINWKKPIDQ 5HVRXUFHV UMDXLOWV DHPESUR
 6HFXULW\ &DUG EH DWWDFKHG, QV B GIBVDS S WIDQHPUD [VZLWKKSPD B UQQ HRLOF JH)RUP WK
 &RPPRQZHDOWK V BORGFWRCHV O RBR R, Q MHLFHVW IRUPLV (OFHWHUG ZRUPLDQG WKH &KLC
 \$XWKRULJDWLRQ IRUP PXVW DOVR EH FRPSOHWHG ODUH O HFXWLW HF R RBY H V K H I K R O H U R F
 IRUPV DUH DYDLODEWH RXP M K HVZHSE W RPHZQ \$ YD X Q W\ \$SSURYV BWR R B O R J D W Q R Q)RUP VK
 FRPSOHWHG LI DFIKDFHR DQPEGSDLG VWDWXV WR D SDLG VWDWX

- i)DFXOW\ WHDFKLQJ JHQHUDO HGXFDWLRQ FRXUVHV DW WKH XQGHRUUDQGDWHV GHYH
FRQFHQWUDWLRQ LQ WKH WHDFKLQJ GLVFLSOLQH D PLQLXP RI JUDGXDWH VPHV
- i)DFXOW\ WHDFKLQJ EDFFDODXUHDWH FRXUVHV GRFWRUDO RU PDVWHU\V GHJUHH L
GLVFLSOLQH PLQLXP RI JUDGXDWH VPHVWHU KRUV LQ WKHWHUDQKLDKKGXQG BHS
PDMRU DUH WDXJKW E\ IDFXOW\ PHPEHUV KROGLQJ WKH WHUPLQDO GHJUHH²XVXDO
- i)DFXOW\ WHDFKLQJ EDFFDODXUHDWH FRXUVHV ZRUN HDUQHG GRFWRUDWH WHUPLQDO
- i *UDGXDWH WHDFKLQJ DVVLVWDQWV PDVWHU\V LQ WKH WHDFKLQJ GLVFLSOLQH RU
PHPEHU H[SHULHQFHG LQ WKHWHDFKLQJ GDVRLSLOLDQ GUSHQDQGDQG DQG SHULRGLF H

2ULJLQDOPBQWDWLRQ LQFOXGLQJ RIILFLDO WUDQVFULSWV FXUUHQW BQWQFWR WKH D D S
 \$XWKRULJDWLRQ)RUP WR WKH 2IILFH RI ,QVWLWXWLRQDO (IIFWLYHODGEMX DQ BHSW HRV
 WHUP

5DQN

\$GMXQFW 5HVHDUFK 3URIHVVRU \$GMXQFW &RPPXQLW\ 3URIHVVRU
 \$GMXQFW 5HVHDUFK \$VVRFLDWLRQ \$GMXQFW &RPPXQLW\ \$VVRFLDW 3UR
 \$GMXQFW 5HVHDUFK \$VVLVWLRQ \$GMXQFW &RPPXQLW\ \$VVLVWDQW 3UR
 \$GMXQFW &RPPXQLW\ ,QVWUXFWRU
 \$GMXQFW &RPPXQLW\ \$VVLVWDQW ,QVWUXFWRU

([HFXWQHVLGHQFH \$GMXQFW &OLQLFDO 3URIHVVRU
 3HUIRUBHVCFH \$GMXQFW &OLQLFDO \$VVRFLDW 3URIHVVRU
 \$UW,CFHWLGHQFH \$GMXQFW &OLQLFDO \$VVLVWDQW 3URIHVVRU
 :UHWC5HVHCFH \$GMXQFW &OLQLFDO ,QVWUXFWRU
 \$GMXQFW &OLQLFDO \$VVLVWDQW ,QVWUXFWRU

\$OO LQGLYLGXDOV DSSRLQWHG DV \$GMXQFW)DFXOW\ PXVW EH DVVLJQHG DQ DSSURS
 DQG 3URPRWLRQ LQ 5DQN LQ 7KH)DFXOW\ +DQGERN

7KRVH KLUHG WRHSHULRUPEBQHPLF VXSSRUW GXWLHV L H VXPPHU FDPV DVVLVW DQ
 VKRXOG EH GHVLJQDWHG DV \$FDGHPLF \$VVLVWDQWV 7KH\ ZLOO QRW EH DVVLJQHG DQ

| | |
|--------------------------------|----------------------|
| 6HQWZHLRHHQVWLWVWVWQDQGHWVPHQW |)25 2), &(86(21/ < |
| 0HJDQPLWK 6SRQDOPVPLWK#RGX-HGX | 5HFHLQHBBB |
| 5HYLVHG | 3URFHVHG RQ BB |
| | 3DJH |

,W LV KHUHE\ UHFRPPHQGHG WKDW WKH IROORZLQJ LQGLYLGXDO EH DSSRLQW
WKH

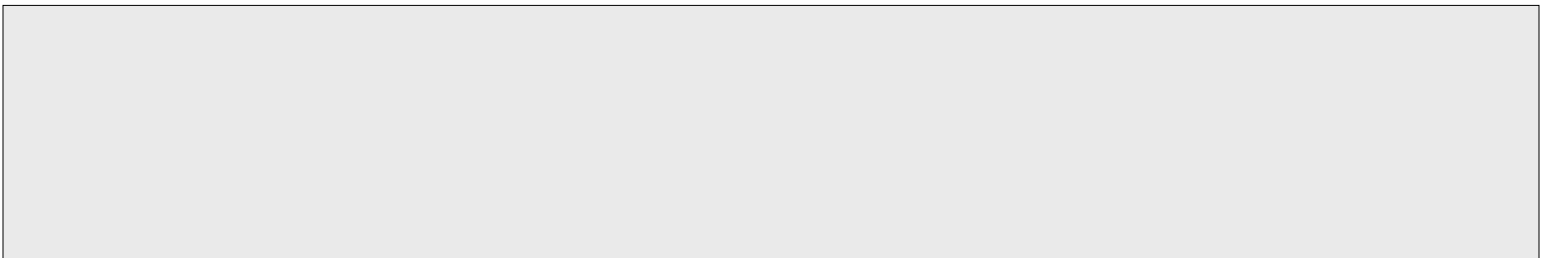
&ROOHJH RI BBB

'HSDUWPHQW 6FKRRO RI BBB
BOLEOBUBBS &RGH BBBBBBBBB

%XGJHW &RGH BBBBBBBBBBBBBBBBBBBB 3RVLWLRQ BBBBBBBBBBBBBBBBBBBBBBBB

BB
/DVW QDPH)LUVW 1DPH 0LGGOH ,P@LBVBOADBH0LG IB BBBBB

)25 2)),&(8621/<
5HFHLYHG REC BBBBB
3URFHVV BBBBBBB
3DJH



: RUNVKH\$OWHUQDVLVYHFDWLRQV

&RPSQIMMHSRIGRVKNDYHJDGXDWLQGNKHJHGLWFKLSDIZKHFKWKHDZGLGSRHEVQVMDKXOD
FUHGRWUVLQWZLHWGLQFZSODLIQWVHRUZLGRCHYHQWVDFKYLQJJDJUDGXDWHGHJ
1DPR\$SSRLQWHHBB
7HDFKLQJLSOUGHL[BB
&RXUVHHLXPEHDLGLWOHBB
&RXGVHFUDQVHRSDFVHGRQLVQFRPHV

3OHDKHF/N\$OWHUQDWLYH4XDOHQWVXLRQV'P'DSDRQDGSODDIDHUGVLDYGHUWVVDHW H
TXDOLIEKDWNRGLVGNHWHDFKUDOLIDQEWLHGOFWKHHODWEHRQZKHSDXBOILDFQKLRQV
FRXBREWHQMSHCPXMGFRPHWSHFLRKEVWRB/VVLLVORHGFXPCHPEGXSSRGVEXBHLQVRS LHV
RIOLFDHGHUWLIIPXDWLXEP/LZLVWIKRV,PDQAUDGKRXUVHGRCHNVFLSOLHGLMBOEGHHQ
FRPSOHWHG SOHDVH FRPSOHWH :RUNVKHHW ,IQHHGHG LQVHUW DGGLWLRQ

5HODWHG :RUN ([SHULHQFH 'RFXPHQWHG 7HDFKLQJ ([FHQ

\$OWHUQDWLYHRIHVVLQRQDO /LDWL@pADW@@W BH@pFW'URFXPH p p
4XDOLILFDWLRQV

&KHFNKDWODS\

6\$&6&2& /LDLVRQ 6LJQDWXUH BB
)25 2)),&(86(21/<
5HFHLYHGBRQB
3URFHQB
3DJH